

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	LLOYD ET AL.	}	
SERIAL NO.:	10/057,198	}	EXAMINER: MENDOZA, M.G.
FILED:	OCTOBER 26, 2001	}	ART UNIT: 3734
TITLE:	METHOD AND DEVICE FOR DELIVERING A PHYSIOLOGICALLY ACTIVE COMPOUND	}	CONFIRMATION NO.: 1707

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant calls the Examiner's attention to the patents and publications listed on the attached Form PTO-1449, copies of required documents enclosed, which may be material to examination of the above identified application.

TIME OF TRANSMITTAL

This Information Disclosure Statement is being submitted under 37 CFR § 1.97(c). This Statement is filed after three months from the filing date of the national application, after three months from the date of entry into national stage under 37 CFR § 1.491, after the mailing of a first Office Action on the merits, or after the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR § 1.114, but before the mailing date of any of:

- (a) a final action under 37 CFR § 1.113;
- (b) a notice of allowance under 37 CFR § 1.311; or
- (c) an action that otherwise closes prosecution in the application.

Consistent with our previous disclosures, in this application, the attached Form PTO-1449 includes an Office Action and the references cited therein, in related applications USSN 10/057,197. In addition, the Form PTO-1449 includes three Office Actions received in USSN 10/146,080, and the references cited therein, and an Office Action received in USSN 10/146,086. Finally, a number of pending application owned by the assignee of this application

are also being disclosed. This updates the list of such applications that were previously disclosed.

The Director is hereby authorized to charge the fee of \$180.00 in accordance with 37 CFR §§1.97(c) and 1.17(p), and any deficiency, or credit any overpayment to Deposit Account No. 19-5117.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. This listed patents and publications are believed of interest herein and consideration and citation of as interest by Examiner is respectfully requested.

Respectfully submitted,

Date November 22, 2006

/Katherine Lobel-Rice/

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 0006.01R	SERIAL NO. 10/057,198
List of Information Cited by Applicant Page 1 of 2		APPLICANT LLOYD ET AL.	
		FILING DATE OCTOBER 26, 2001	GROUP 3734

U.S. PATENT DOCUMENTS						
EXAM. INITIAL	DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE
AA	3,219,533	11/23/1965	Mullins			
AB	3,560,607	02/02/1971	Hartley et al.			
AC	4,276,243	06/30/1981	Partus			
AB	4,924,883	05/15/1990	Perfetti et al.			
AE	5,049,389	09/17/1991	Radhakrishnan			
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AG	5,144,962	09/08/1992	Counts et al.			
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AI	5,738,865	04/14/1998	Baichwal et al.			
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AM	6,051,566	04/18/2000	Bianco			
AN	6,155,268	12/05/2000	Takeuchi			
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AP	6,241,969	06/05/2001	Saidi et al.			
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AR	EP 0 606 486	07/20/1994	Europe			
AS	EP 1 080 720	03/07/2001	Europe			
AT	WO 97/36574	10/09/1997	WIPO			
AU	WO 98/31346	07/23/1998	WIPO			
AV	WO 00/27363	05/18/2000	WIPO			
AW	WO 02/24158	03/28/2002	WIPO			
AX	WO 03/37412	05/08/2003	WIPO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
AY	Office Action mailed 09/21/2006 with respect to U.S. Appl. No. 10/057,197
AZ	Office Action mailed 02/27/2004 with respect to U.S. Appl. No. 10/146,080
AAA	Office Action mailed 08/25/2005 with respect to U.S. Appl. No. 10/146,080
AAB	Office Action mailed 06/05/2006 with respect to U.S. Appl. No. 10/146,080
AAC	Office Action mailed 12/13/2005 with respect to U.S. Appl. No. 10/146,086
AAD	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 0006.01R	SERIAL NO. 10057,1%
List of Information Cited by Applicant		APPLICANT LLOYD ET AL.		
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EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS
BS		6,506,762	01/14/2003	Horvath et al.		
BB		6,514,482	02/04/2003	Bartus et al.		
BC		6,701,922	03/09/2004	Hindle et al.		
BN		6,772,756	08/10/2004	Shayan		
BI	US 2001/0020147		09/06/2001	Staniforth et al.		
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BM		7,045,119	05/16/2006	Rabinowitz et al.		
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BAA		11/488,932	07/18/2006	Rabinowitz et al.		
BBB		11/488,943	07/18/2006	Rabinowitz et al.		
BCC		11/500,735	08/07/2006	Rabinowitz et al.		
BDD		11/500,736	08/07/2006	Rabinowitz et al.		
BEE		11/501,246	08/07/2006	Rabinowitz et al.		
BFF		11/504,419	08/15/2006	Hale et al.		
BGG						
EXAMINER					DATE CONSIDERED	
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